

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/938,328	08/23/2001	Chris Scotto	426882000600 9977  EXAMINER	
20872 7:	590 04/06/2005			
MORRISON & FOERSTER LLP			NGUYEN, TAN D	
425 MARKET STREET SAN FRANCISCO, CA 94105-2482			ART UNIT	PAPER NUMBER
			3629	
			DATE MAILED: 04/06/2005	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
Office Action Commence	09/938,328	SCOTTO ET AL.				
Office Action Summary	Examiner	Art Unit				
	Tan Dean D. Nguyen	3629				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 27 December 2004.						
2a) This action is <b>FINAL</b> . 2b) ⊠ This	☐ This action is <b>FINAL</b> . 2b) ☐ This action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)  Claim(s) 1-21 is/are pending in the application.  4a) Of the above claim(s) is/are withdraw  5)  Claim(s) is/are allowed.  6)  Claim(s) is/are rejected.  7)  Claim(s) is/are objected to.  8)  Claim(s) 1-21 are subject to restriction and/or expressions.	vn from consideration.					
Application Papers						
9)☐ The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)						
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary (PTO-413) , Paper No(s)/Mail Date					
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date		Patent Application (PTO-152)				

Application/Control Number: 09/938,328

Art Unit: 3629

## **DETAILED ACTION**

## Response to Amendment

The amendment filed 12/27/04 has been entered.

## Election/Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - Claims <u>1</u>-20, drawn to a method for guiding a business after an initial funding state, classified in class 705, subclass 36.
- II. Claim <u>21</u>, drawn to a method, classified in class 705, subclass 10.

The inventions are <u>distinct</u>, each from the other because of the following reasons:

- 2. Inventions I and II are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have (1) different modes of operation, (2) different functions, or (3) different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions, the inventions can stand alone and have (1) different modes of operation, thus resulting in (3) different effects. For example,
- (1) scope of claim, based on the preamble:
  - Claim 1: "guiding a business after an initial funding state", vs.
  - Claim 21: none because there is no preamble;
- Or (2) Step (a), requires:
- Claim 1: "presenting an initial customer interface and gathering customer feedback", vs.
  - Claim 21: "using one or more disposable solutions";

Page 3

Application/Control Number: 09/938,328

Art Unit: 3629

Or (3) Step (b) requires:

Claim 1: "refining said customer interface based on the customer feedback", vs.

Claim 21: "replacing one or more disposable solutions with a new architecture";

- 3. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper. Furthermore, it's a serious burden for the examiner to examine all of these inventions together.
- 4. A telephone call was made to the office of Applicant's Attorney on 3/25/2005 to request an oral election to the above restriction requirement, but did not result in an election being made.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

5. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Art Unit: 3629

6. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct@uspto.gov">http://pair-direct@uspto.gov</a>. Should you have any questions on access to the private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll free).

In receiving an Office Action, it becomes apparent that certain documents are missing, e. g. copies of references, Forms PTO 1449, PTO-892, etc., requests for copies should be directed to Tech Center 3600 Customer Service at (703) 306-5771, or e-mail <a href="mailto:CustomerService3600@uspto.gov">CustomerService3600@uspto.gov</a>.

Any inquiry concerning the merits of the examination of the application should be directed to <u>Dean Tan Nguyen at telephone number (703) 308-2053</u> or (571) 272-6806 (by April 15, 2005). My work schedule is normally Monday through Friday from 7:00 am - 4:00 pm. I am scheduled to be off every other Friday.

Should I be unavailable during my normal working hours, my supervisor John Weiss may be reached at (703) 308-2702. The <u>FAX phone</u> numbers for formal communications concerning this application are <u>(703) 872-9306</u>. My personal Fax is <u>(703) 872-9674</u>. Informal communications may be made, following a telephone call to the examiner, by an informal FAX number to be given.

dtn

March 25, 2005

DEANT NGUYEN
PRIMARY/EXAMINES